

INTERMEDIARY DISCLOSURE

In terms of the Financial Advisory and Intermediary Services Act (FAIS) (ACT NO 37 OF 2002) the following information must be disclosed to clients and potential clients:

Full Name: Shamira Traders

FSP Number: 45099

Physical address: LL71 Parkview Lifestyle Centre, Corner Garsfontein and Netcare Roads

Postal Address: LL71 Parkview Lifestyle Centre, Corner Garsfontein and Netcare Roads

Telephone: 012 9930021

Fax:

Mobile:

e-mail: (your own)

I am an Independent Financial Advisor, a representative of the abovementioned Forex brokerage. I currently work as a Representative under supervision.

I have training and experience to advise my clients on the following business lines:

Short term Deposits and Long Term Deposits

Reference to licence, authority achieved in terms of licence (i.e. products).

I have Professional Indemnity Insurance cover of R1mil

Waiver of Rights

As an authorised Financial Services Provider I may not request or induce in any manner a Client to waive any right or benefit conferred on the Client by or in terms of any provision of the General Code of Conduct, or recognise, accept or act on any such waiver by a Client

Conflict of Interest

From time to time I may receive indirect consideration from product providers. A gift register is available for inspection upon request. We have established a conflict of interest policy.

Complaints

If you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint. A copy of the complaints process is available upon request.

Please contact our office should you wish to get more detailed information in this regard. If your complaint is not resolved to your satisfaction in terms of the internal complaints process, then you may direct your complaint directly to the FAIS Ombud. Contact information of the FAIS Ombud as follows:

FAIS Ombud
Eastwood Office Park
Baobab House
Ground Floor
Lynnwood Ridge, 0081

P.O. Box 74571
Lynnwood Ridge
0040

0860-**FAISOM** (0860-324766)
Telephone: +27 12 470 9080
Facsimile: + 27 12 348 3447
E-mail address: info@faisombud.co.za
Website: www.faisombud.co.za

Moonstone Compliance (Pty) Ltd is the businesses compliance officer and is represented by: Marius Wiese.

Moonstone Compliance can be contacted at :

Valerida Centre, Piet Retief Street, 1st Floor, Stellenbosch, 7600. Fax: (021) 883 8005; Tel: (021) 883 8000

E-mail address: mwiese@moonstonecompliance.co.za; Website: www.moonstoneinfo.co.za

Advisor Signature

Date

I hereby acknowledge that I have read the content of this document.

Client Signature

Date