

# Entities tax related information form



## Corporate Cash Manager: Entity self-certification

Please ensure that you read this form carefully and that you understand it before signing it. Where you are not familiar with your tax status (including countries where you have tax obligations) it is recommended that you consult a professional tax adviser.

*Should any information provided change in the future, please make sure you advise Investec immediately.*

### Section 1. Account holder details

Registered name	<input type="text"/>		
Registration country	<input type="text"/>		
Registration number	<input type="text"/>		
Country of incorporation/organisation//establishment	<input type="text"/>		
Physical/trading address	<input type="text"/>		
Suburb	<input type="text"/>	City	<input type="text"/>
Postal code	<input type="text"/>	Country	<input type="text"/>

### Section 2. To be completed by all entities

1. Is the entity an active/operating/trading business? Y  N

1.1. How does the entity earn its income/what is the main source of income?

2. Is the entity a government body/central bank/international organisation? Y  N

3. Does the entity have any tax obligations outside of the RSA? Y  N

4. Is the entity a Specified US Person?\* Y  N

5. Is the entity:      A financial institution?\*  or  
                            An active NFE (an active, operating business)?\*  or  
                            A passive NFE (entity earning passive income)?\*\*

5.1. GIIN\* (if applicable)

5.2. If the entity is a financial institution and does not have a GIIN, please provide an explanation below

6. Is the entity a corporation, the stock of which is regularly traded on one or more established securities markets, or is the entity a corporation that is a related entity to such a corporation?\* Y  N

7. Is the entity located in a non-participating jurisdiction and managed by another financial institution?\* Y  N

Out of the Ordinary®



**Section 3. Tax obligations/residency/reporting/filing requirements (Tax Country) including South Africa**

Tax regulations require Investec to collect information about each account holder's residency. Tax regulations refers to regulations created to enable automatic exchange of information and include the US Foreign Account Tax Compliance Act; the agreement entered into between South Africa and the USA to improve international tax compliance; the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information; and the Common Reporting Standard. Investec may be obliged to report this information to SARS. Failure to provide the information, or provision of the incorrect information may result in Investec being required to report the account holder to SARS.

	Country(ies) of tax obligation/ residency reporting and/or filing requirements*	Tax reference/Tax Identification Number (TIN)*	Comment if TIN not applicable
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you do not have tax obligations in any country **(including South Africa)**, please tick this box  and provide an explanation below

**Section 4. Declaration and Undertakings**

The applicant warrants that all information provided to Investec in this self-certification is current, true, accurate and complete in every aspect and undertakes to notify Investec of any changes to information provided whether in terms of this certification or otherwise. In addition the applicant warrants that it has appropriate authority to provide and confirm Controlling Person information as applicable.

The applicant understands that the account may not be opened unless a valid self certification form is provided. The applicant understands that Investec is required to report to SARS on account holders who are US and/or foreign tax resident or who have unexplained US or foreign indicators, for example an address in the US or UK.

Name of signatory \_\_\_\_\_ Date \_\_\_\_\_ Signature \_\_\_\_\_

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Name of signatory \_\_\_\_\_ Date \_\_\_\_\_ Signature \_\_\_\_\_

\* Refer to notes for detailed definitions and explanations.

\*\* NB: Complete the tax form for each Controlling Person for any passive NFE client – see Annex 1.